EXCELMANAGEMENT
INNOVATIVE INVESTMENT SOLUTIONS

ACCOUNT APPLICATION

Account Registration

☐ Individual ☐ Joint /WROS ☐ Estate ☐ Corporate ☐ Trust

☐ Joint ☐ Custodian ☐ Partnership ☐ If Other (please specify)

1. Account Holder

☐ Mr. ☐ Mrs. ☐ Ms. ☐ Dr.

Last name | First | Middle

Email address (es) | Date of birth (mm/dd/yyyy)

Home address

City | State, Province | Country | Postal, Zip Code

Postal address (if different than above)

Home phone (if applicable) (Country code-Area code-Number) | Mobile phone (Country code- Area code-Number)

Other phone (if applicable) (Country code-Area code-Number) | Fax (optional) (Country code-Area code-Number)

Country of citizenship

Country of residence (if other than above)

Employment status

☐ Employed ☐ Self –Employed ☐ Retired ☐ Unemployed

Employer/Business name

Nature of business

Business address

City | State, Province | Country | Postal, Zip Code

Business phone (Country code) (City/Area code) (Number)

Occupation/Position | Years with employer

Marital Status ☐ Single ☐ Married ☐ Divorced | Number of dependents

2. Investment Objectives

Securities industry regulations require that we collect all of the following information. For joint accounts, please include combined amounts.

Investment Objective

☐ **Current Income**
Preservation of capital with a primary consideration on current income.

☐ **Balanced**
A balance between capital appreciation and current income with the primary consideration being current income.

☐ **Growth & Income**
A balance between capital appreciation and current income with the primary consideration being capital appreciation.

☐ **Growth**
Capital appreciation through quality equity investments and little or no income.

☐ **Maximum Growth**
Maximum capital appreciation with higher risk and little to no income.

☐ **Speculation**
Maximum total return involving a higher degree of risk through investment in a broad spectrum of securities.

Investment Experience

	None	Limited	Good	Excellent
Common Shares	☐	☐	☐	☐
Preferred Shares	☐	☐	☐	☐
Bonds	☐	☐	☐	☐
Money Market	☐	☐	☐	☐
New Issues	☐	☐	☐	☐
Mutual Funds	☐	☐	☐	☐
Options	☐	☐	☐	☐
Commodities/Futures	☐	☐	☐	☐
Venture Situations	☐	☐	☐	☐

How often do you trade?

☐ 0-3 trades per month
☐ 4-9 trades per month
☐ 10+ trades per month

What is the purpose and expected use of the account?

☐ Investment account with transfers for expenses
☐ Long term investment-occasional transfers for expenses
☐ Savings for retirement

If other (please specify)

3. CUSTOMER AGREEMENT

I hereby request that Excel Management Ltd. open an account in the name(s) listed as account owner(s) on this application. I declare all information provided is true and correct, that I/we have not withheld any information, and agree to inform Excel Management Ltd. of any changes in the personal information provided when it occurs. I acknowledge that securities products purchased or sold in a transaction with Excel Management Ltd. are subject to investment risks, including loss of the principal invested. I understand that Excel Management Ltd. does not provide tax, legal or accounting advice. I am of required legal age to enter into this agreement.

Your Signature | **Date** | **Joint Signature (if applicable)**